

T2S CORPORATE ACTIONS SUB-GROUP

AGENDA of 40th CASG meeting

17 October 2016, 10:00 – 17:30 CET (buffet lunch 13:00-14:00)

ECB Main Building, room C02.05, Sonnemannstrasse 20, 60314 Frankfurt am Main

1. Introduction

The CASG chairman is to update the CASG on recent developments.

2. CASG gap analysis update

The CASG is to review the compliance status of T2S markets and finalise the draft CASG gap analysis update, which is to be submitted to the HSG in its 08-09 November 2017 meeting.

3. FAQ updates

- Transformation scenarios + Annex 2
- Market Claim Standard 23
- Annex 1 update on Reverse Claim processing

The following items will be discussed during the 17 October 2016 meeting if time allows, otherwise they will be postponed to the 25 October 2016 teleconference.

4. Issues list of the CASG

The CASG is to discuss on the open on-going issues related to CA processing, based on its issues list.

5. Reverse claim processing

- Updated note on fiscal status of market claims

6. Any other business

6.1 Other topics 6

CASG is to discuss on other topics which are brought by CASG members

- Update and follow up on prospectus regulation

6.2 Next steps

Next CASG telco on 25 October from 10h00 to 12h00

Next CASG meetings in 2017